



2012 GLOBAL COMPACT IMPLEMENTATION SURVEY

Thank you for completing the 2012 Global Compact Implementation Survey.

This annual benchmark survey is not an evaluation of any single company's performance. Rather, it allows the Global Compact to broadly understand the ways in which our business participants are working to implement the ten principles and partnerships. Through your honest responses, we are able to assess where and how progress is being made, as well as identify areas that are challenging for companies. This survey provides the Global Compact with information that is critical to the prioritization and development of future resources, dialogues and learning events for participants.

The survey must be completed by 14 December 2012:

- A copy of the survey can be downloaded to facilitate its online completion: English - Français - Español - 中文
- All answers provided will be treated in strict confidence and will only be reproduced in aggregated and anonymous form.
- If you need to leave the survey before it has been submitted, simply close your browser. Your answers will be saved. You can return to and change your answers at any time until you submit the survey.
- To begin the survey, please click on the ">>" button below.

Note on terminology: The terms corporate responsibility and corporate sustainability are used interchangeably in this survey – and are defined as a company's delivery of long-term value in financial, social, environmental and ethical terms – covering all ten principles of the Global Compact.

If you have any questions or encounter challenges in completing the survey, please contact survey@unglobalcompact.org. We will respond promptly.

Thank you for your time and important contribution.

The UN Global Compact Office

SECTION I

MANAGEMENT & GOVERNANCE

This is not an evaluation of your company's policies and performance. The survey is strictly used for information gathering and it is important to answer accurately. All information provided will be treated in strict confidence.

Note on terminology: The terms corporate responsibility and corporate sustainability are used interchangeably in this survey – and are defined as a company's delivery of long-term value in financial, social, environmental and ethical terms – covering all ten principles of the Global Compact.

1. At what levels within your company are corporate responsibility policies and strategies developed and/or evaluated? Select all that apply:

- CEO
- Board of Directors*
- Corporate Responsibility or Ethics officer
- Senior management
- Middle management
- Subsidiaries
- Other (specify) _____

**For companies without a formal Board, other governance or ownership body assumes these responsibilities*

2. How often does your company's Board of Directors (or equivalent*) address corporate responsibility issues? Select only one choice:

- Board discusses and acts on these issues as part of regular agenda
- Board addresses these issues from time to time, as needed
- Board does not address corporate responsibility issues
- Other (specify) _____
- Unsure

**For companies without a formal Board, other governance or ownership body assumes these responsibilities*

2a. Skip this question if answer "Board does not address corporate responsibility issues" above:

How does your company's Board of Directors (or equivalent*) address corporate responsibility issues? Select all that apply:

- Appoints sub-committee or individual member responsible for corporate responsibility
- Approves reporting on corporate responsibility (e.g., Sustainability report, Communication on Progress)
- Establishes or approves targets for the company's sustainability performance
- Links executive remuneration packages to corporate sustainability performance
- Provides corporate responsibility training for Board members
- Other (specify) _____
- Unsure
- None

**For companies without a formal Board, other governance or ownership body assumes these responsibilities*

3. What actions has your company taken to embed corporate responsibility throughout its strategies and operations? Select all that apply:

- Set measureable sustainability goals
- Establish/adjust policies to incorporate visions and goals
- Monitor and evaluate sustainability performance
- Public disclosure of sustainability policies and practices
- Engage in multi-stakeholder consultations
- Join voluntary initiatives (e.g. sector, issue specific) in addition to the Global Compact
- Integrate into relevant corporate functions
- Integrate into business unit strategies and operations
- Values reflected in code of conduct
- Other (please specify) _____
- None
- Unsure

3a. If answer “Join voluntary initiatives”:

How many voluntary initiatives or standards have you joined or adopted? Select only one choice:

- 1-5
- 6-10
- 11-15
- 15+

Have you developed criteria for joining voluntary initiatives or adopting voluntary standards?

- Yes
- No
- Unsure

If yes,

Please categorize how each of the following affects your company’s decision to join a voluntary initiative or adopt a voluntary standard: (select only one choice)

	Negative	Neutral	Positive
Requires top management endorsement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requires legal or compliance approval	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requires annual fee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Includes reporting requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Relevant to company’s local operations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Resources in place to manage the commitment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Already aligned with company practices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complements existing commitments to other initiatives or standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Potential to advance company practices	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Responds to stakeholder concerns or expectations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify) _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If no,

Why has your company not developed criteria to evaluate joining voluntary initiatives or adopting voluntary standards?

- Company chooses not to join many initiatives/adopt standards
- Company not often approached to join initiatives/adopt standards
- Not a priority
- Lack of internal resources or support
- Other (specify) _____
- Unsure

4. How does your company communicate its corporate responsibility policies and expectations with employees? Select all that apply:

- Employee orientation
- Staff training or workshops
- Train managers to integrate issues into strategy and operations
- Incorporate sustainability criteria into employee performance assessments
- Link sustainability performance to compensation
- Code of Conduct
- Use internal communications channels (e.g., email, intranet or newsletter)
- Management meetings with employees (e.g., "Town Halls")
- Other (specify) _____
- None
- Unsure

5. What are the top 5 reasons for your company's participation in the Global Compact? Please select 5 responses and then rank your responses in order of importance from 1 – 5, with 1 being the most important reason.

- Increase trust in company through public commitment to sustainability
- Address humanitarian concerns
- Global Compact is a global initiative with local presence
- Acquire knowledge to advance sustainability into operations and strategy
- Establish links with UN
- Networking with other organizations
- Universal nature of the principles
- Address business opportunities and risks
- Comprehensive framework that includes the range of sustainability issues
- Promotes action on sustainability within the company
- Attract, motivate and retain employees
- Pressure from external stakeholders
- Investor efforts to evaluate corporate sustainability performance
- Other (please specify) _____
- Unsure

6. To what extent does your company spread its commitment to the Global Compact throughout its subsidiaries*?

On a scale of 1 to 5: 1=Not spreading commitment beyond headquarters, 5=Require all subsidiaries to implement the Global Compact principles and assess their progress (select only one choice):

- 1 (Not spreading commitment beyond headquarters)
- 2
- 3
- 4
- 5 (Require all subsidiaries to implement the Global Compact principles and assess their progress)

- Do not have subsidiaries

**The term "subsidiary" is used to cover all types of parent company/affiliate organizational structures.*

6a. If answer "1":

Why is your company not spreading its commitment to the Global Compact throughout its subsidiaries? Select all that apply:

- Lack of financial resources
- Not a priority for the company
- Structure between parent company-subsidiary not conducive
- Corporate responsibility not integrated in lines of business
- Not yet prepared to spread to subsidiaries
- Difficulty due to local environment (e.g., cultural, regulatory)
- Other (please specify) _____
- Unsure

6b. If answer "2 – 5":

What actions is your company taking to spread its commitment to the Global Compact throughout subsidiaries? Select all that apply:

- Conduct training and awareness-raising sessions for subsidiaries
- Connect Global Compact principles to local priority issues
- Establish Corporate Responsibility position (or similar) in subsidiaries
- Monitor and evaluate subsidiary actions through reporting to headquarters
- Encourage subsidiaries to join Global Compact Local Networks
- Encourage subsidiaries to engage in multi-stakeholder consultations
- Engage in partnerships at local level through subsidiaries
- Other (specify) _____
- Unsure

7. To what extent do you consider adherence to the Global Compact principles by supply chain partners? Note: "Adherence" does not require the supplier to be a participant of the Global Compact.

On a scale of 1 to 5: 1=Not considered, 5=Required (select only one choice):

- 1 (Not considered)
- 2
- 3
- 4
- 5 (Required)

7a. If answer "1":

For what reason(s) do you not consider adherence to the Global Compact principles by supply chain partners? Select all that apply:

- Lack of financial resources
- Lack of capacity
- Corporate responsibility data not available
- Lack of knowledge on how to integrate principles into procurement practices
- No clear link to business value
- Not a priority
- Other (specify) _____
- Unsure

7b. If answer “2 – 5”:

What actions does your company take to advance supplier adherence to Global Compact principles? Select all that apply:

- Include corporate responsibility expectations in relevant documents (e.g. contracts, purchase orders)
- Train procurement staff on corporate responsibility
- Reward suppliers that perform well on business and corporate responsibility criteria
- Assist suppliers with setting and reviewing goals
- Review and comment on supplier remediation plans
- Provide training for suppliers on relevant issues
- Provide resources to suppliers for specific improvement projects
- Facilitate supplier engagement with stakeholders (e.g., civil society, government)
- Other (specify) _____
- None
- Unsure

How does your company assess adherence to Global Compact principles by supply chain partners? Select all that apply:

- Audits by company staff
- Audits by third party
- Self-assessment questionnaire
- Regular business review
- Review publicly available sustainability reports, certifications or website
- Review documentation provided by supply chain partner that is not publicly available
- Verification of remediation activities
- Other (specify) _____
- Unsure

8. Do you have a public policy strategy in relation to your corporate responsibility commitments?

- Yes
- No
- Unsure

If answer “Yes”:

What are the characteristics of this public policy engagement? Select all that apply:

- Publicly advocate for action in relation to the Global Compact principles and/or other UN goals
- Company leaders participate in conferences and other public policy interactions relating to the Global Compact principles and/or other UN goals
- Align traditional government affairs activities (i.e. lobbying) with corporate responsibility commitments
- Regional or local public policy activities
- Other (specify) _____
- Unsure

SECTION II

IMPLEMENTING THE TEN PRINCIPLES

Note: This is not an evaluation of your company's policies and performance. The survey is strictly used for information gathering and it is important to answer accurately. All information provided will be treated in strict confidence.

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Human Rights

- Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights; and
Principle 2: make sure that they are not complicit in human rights abuses.

1. Do you have policies or practices in place related to Human Rights?

- Yes
 No

2. How does your company take the human rights principles into account in its policies or practices? Select all that apply:

- Set of corporate principles on human rights specifically
 Within an overall corporate code or principles
 Operational guidance notes
 Complaint mechanism
 Employee performance assessment
 Human rights risk assessment
 Human rights impact assessment
 Training and awareness programmes for employees
 Monitor and evaluate performance
 Public disclosure of human rights policies and practices
 Supply chain and subcontracting arrangements
 Participate in industry or issue-specific initiatives
 Multi-stakeholder dialogue
 Other (specify) _____
 Unsure

3. To which groups do your company's human rights policies or practices apply? Select all that apply:

- Employees
 Employee representatives (e.g. trade unions)
 Subsidiaries
 Suppliers
 Other business partners
 Communities surrounding company's operations
 Government
 Customers
 Other (specify) _____
 Unsure

4. Which aspects of human rights are addressed in your company's policies or practices? Select all that apply:

- Life, liberty and security of the person
- Forced labour
- Child labour
- Right to privacy
- Non-discrimination
- Workplace health and safety
- Adequate standard of living
- Health
- Freedom of association and other rights at work
- Other (specify) _____
- Unsure

5. Which of the following human rights and business resources are you aware of? Select all that apply:

- Guiding Principles on Business and Human Rights (UN)
- Human Rights Management Framework (UNGC)
- Guide on How to Develop a Human Rights Policy (UNGC)
- Guide for Integrating Human Rights into Business Management (UNGC/BLIHR/OHCHR)
- Human Rights Translated: A Business Reference Guide (UNGC/Castan Centre/IBLF/OHCHR)
- Human Rights Compliance Assessment "Quick Check" Tool (Danish Institute for Human Rights)
- Guide to Human Rights Impact Assessment and Management (IBLF/IFC/UNGC)
- Women's Empowerment Principles (UNGC/UN Women)
- Children's Rights and Business Principles (UNICEF/UNGC/Save the Children)
- Human Rights and Business Dilemmas Forum (UNGC/Maplecroft/GE Foundation)
- None

6. Has your company signed the CEO Statement of Support for the Women's Empowerment Principles?

- Yes
- No
- Unsure

Labour

- Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;
- Principle 4: the elimination of all forms of forced and compulsory labour;
- Principle 5: the effective abolition of child labour; and
- Principle 6: the elimination of discrimination in respect of employment and occupation.

1. Do you have policies or practices in place related to Labour?

- Yes
 No

2. How does your company take the labour principles into account in its policies or practices? Select all that apply:

- Recognize that all workers are free to form and join a trade union of their choice
 Policy not to use or benefit from forced labour
 Policy not to use or benefit from child labour
 Non-discrimination policy
 Equal opportunity policy
 Other (specify) _____
 Unsure

3. Which groups are given consideration in your company's policies and practices concerning labour standards? Select all that apply:

- Employees
 Employee representatives (e.g. trade unions)
 Temporary employment
 Subsidiaries
 Suppliers
 Other business partners
 Communities surrounding company's operations
 Government
 Customers
 Other (specify) _____
 Unsure

4. What actions does your company take to implement the labour principles? Select all that apply:

- Participate in institutional framework for industrial relations and collective bargaining
 Working conditions and terms of employment are addressed through collective bargaining
 Reliable mechanisms for age verification
 Support vocational training and counseling programmes
 Labour rights risk assessment
 Labour rights impact assessment
 Training and awareness programmes for employees
 Monitor and evaluate performance
 Public disclosure of labour policies and practices
 Supply chain and subcontracting arrangements
 Participate in industry or issue-specific initiatives
 Multi-stakeholder dialogue
 Other (specify) _____
 Unsure

5. Which of the following labour resources are you aware of? Select all that apply:

- The Labour Principles of the United Nations Global Compact: A Guide for Business (UNGC/ILO)
- ILO Helpdesk (ILO)
- Eliminating Child Labour – Guides for Employers (ILO)
- Combating Forced Labour – A Handbook for Employers and Business (ILO)
- Human Trafficking and Business: Good Practices to Prevent and Combat Human Trafficking (UNGC/UN.GIFT/ILO/IOM)
- None

Environment

- Principle 7: Businesses are asked to support a precautionary approach to environmental challenges;
Principle 8: undertake initiatives to promote greater environmental responsibility; and
Principle 9: encourage the development and diffusion of environmentally friendly technologies.

1. Do you have policies or practices in place related to Environment?

- Yes
 No

2. How does your company take the environmental principles into account in its policies or practices? Select all that apply:

- Voluntary charters or codes
 "Triple bottom line" policy or strategy for sustainable development
 Performance targets and indicators
 Cleaner and safer production objectives
 Sustainable consumption and responsible use objectives
 Other (specify) _____
 Unsure

3. To which groups do your company's environmental policies and practices apply? Select all that apply:

- Employees
 Subsidiaries
 Suppliers
 Production sites owned by company
 Customers
 Other business partners
 Communities surrounding company's operations
 Other (specify) _____
 Not applicable
 Unsure

4. What actions does your company take to implement the environmental principles? Select all that apply:

- Environmental management systems
 Technology assessment and management
 Life-cycle assessment and costing
 Water footprinting
 Eco-design
 3R (reduce, re-use, recycle)
 Emissions trading and/or Clean Development Mechanism Projects
 Report greenhouse gas emissions and strategic climate change data
 Use good practices from the industry
 Environmental risk assessment
 Environmental impact assessment
 Training and awareness programmes for employees
 Monitor and evaluate performance
 Public disclosure of environmental policies and practices
 Supply chain and subcontracting arrangements
 Participate in industry or issue-specific initiatives
 Multi-stakeholder dialogue
 Other (specify) _____
 Unsure

5. To what extent does your company address each of the following environmental issues? On a scale of 1 to 5: 1=Not addressed, 5=Fully integrated into company strategy and operations

- Resource efficiency
- Climate change
- Water
- Biodiversity
- Waste management
- Renewable energy sources

6. Has your company joined the CEO Water Mandate?

- Yes
- No
- Unsure

7. Has your company joined Caring for Climate?

- Yes
- No
- Unsure

Anti-Corruption

Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery.

1. Do you have policies or practices in place related to Anti-corruption?

- Yes
- No

2. How does your company take the anti-corruption principle into account in its policies or practices? Select all that apply:

- Explicit policy addressing anti-corruption
- Within an overall corporate code or principles
- Zero-tolerance policy towards corruption
- Specialized unit within the company (e.g. an ethics/compliance officer, oversight board)
- Pre-approval of facilitation payments required
- Political donations publicized
- Policies limiting the value of gifts
- Policies on donations to charitable organizations
- Suppliers required to have anti-corruption policies in place
- Other (specify) _____
- Unsure

3. To which groups do these anti-corruption policies and practices apply? Select all that apply:

- Employees
- Subsidiaries
- Suppliers
- Other business partners (e.g. agents)
- Communities surrounding company's operations
- Government
- Customers
- Other (specify) _____
- Unsure

4. What actions does your company take to implement the anti-corruption principle? Select all that apply:

- Management systems addressing bribery and anti-corruption
- Anti-corruption policy is publicly accessible
- Country Managers sign "no bribery" certifications
- Anonymous hotline for reporting of corruption instances
- Record instances of corruption
- Record facilitation payments and gifts
- Sanction system for corruption breaches by employees
- Terminate contracts with suppliers if corruption occurs
- Engage in collective action
- Corruption risk assessment
- Corruption impact assessment
- Training and awareness programmes for employees
- Monitor and evaluate performance
- Public disclosure of anti-corruption policies and practices
- Supply chain and subcontracting arrangements
- Participate in industry or issue-specific initiatives
- Multi-stakeholder dialogue
- Other (specify) _____
- Unsure

5. Which of the following anti-corruption resources are you aware of? Select all that apply:

- United Nations Convention against Corruption (UN)
- Reporting Guidance on the 10th Principle Against Corruption (UNGC/Transparency International)
- Fighting Corruption in the Supply Chain: A Guide for Customers and Suppliers (UNGC)
- RESIST – Resisting Extortion and Solicitation in International Transactions (UNGC/ICC/Transparency International/WEF)
- E-Learning Tool: The Fight Against Corruption (UNGC/UNODC)
- Business Fighting Corruption: A Resource Center for Business (WBI and partners)
- Collective Action – Building a Coalition Against Corruption (UNGC/ICC/Transparency International/WEF-PACI/WBI/CIPE/Global Advice Network/Grant Thornton/Siemens)
- None

Business and Peace

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1. Do you have operations in high-risk and/or conflict-affected areas*?

- Yes
- No
- Unsure

** The Global Compact – PRI Guidance on Responsible Business in Conflict-Affected & High-Risk Areas defines “high-risk” or “conflict-affected” areas as: those that are not currently experiencing high levels of armed violence, but where political and social instability prevails; those in which there are serious concerns about abuses of human rights and political and civil liberties, but where violent conflict is not present; those that are currently experiencing violent conflict, including civil wars, armed insurrections and other types of organized violence and those that are in transition from violent conflict to peace, often referred to as “post-conflict” (where there may be a risk of falling back into violent conflict).*

If answer “Yes”:

How does your company advance responsible business practices in these high-risk and/or conflict-affected areas? Select all that apply:

Core business:

- Adapt or establish policies and strategies for the specific context based on due diligence
- Adhere to best practices even where national law sets a lower standard
- Apply best practice approaches to the management of security services
- Develop supply chain management system to assess resources and raw materials

Government Relations:

- Explore opportunities for constructive engagement with government in order to support peace
- Take measures to avoid complicity in human rights violations by government actors
- Develop management practices to prevent corrupt relationships with government officials

Local Stakeholder Engagement & Strategic Social Investment:

- Establish stakeholder engagement mechanisms across company and contractor operations
- Approach to stakeholder engagement includes civil society
- Approach to stakeholder engagement includes international organizations
- Take actions towards constructive and peaceful company-community engagement
- Undertake social investment projects as a component of local engagement
- Ensure social investment projects are sustainable

Implementing the Ten Principles

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1. Overall, to what extent has participation in the Global Compact helped advance corporate responsibility policies and/or practices within your company?

On a scale of 1 to 5: 1=No impact, 5=Would not have happened without being a participant (select only one choice):

- 1: No impact
- 2: Minimally helped advance efforts
- 3: Moderately helped advance efforts
- 4: Significantly helped advance efforts
- 5: Advancement would not have happened without being a participant

2. How would you assess your company's overall efforts to advance the following corporate responsibility issues?

Please indicate a value between 0 - 100, where 100% equals maximum effort (e.g., comprehensive policies in place and action being taken across the company's operations, and extended fully throughout the supply chain)

Human rights	_____ %
Labour	_____ %
Environment	_____ %
Anti-corruption	_____ %

SECTION III

CONTRIBUTION TO DEVELOPMENT

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- 1. As an experienced business executive, what do you personally see as the 5 most urgent global sustainability challenges?**

	<u>(Select 5 responses)</u>
Poverty eradication	<input type="checkbox"/>
Growth & employment	<input type="checkbox"/>
Food security	<input type="checkbox"/>
Education	<input type="checkbox"/>
Inequalities	<input type="checkbox"/>
Health	<input type="checkbox"/>
Climate change	<input type="checkbox"/>
Water and sanitation	<input type="checkbox"/>
Energy	<input type="checkbox"/>
Peace and security	<input type="checkbox"/>
Disaster risk reduction	<input type="checkbox"/>
Corruption	<input type="checkbox"/>
Urbanization	<input type="checkbox"/>
Other	<input type="checkbox"/>

- 2. What are the 5 areas on which your company's actions can have the greatest impact in the future?**

	<u>(Select 5 responses)</u>
Poverty eradication	<input type="checkbox"/>
Growth & employment	<input type="checkbox"/>
Food security	<input type="checkbox"/>
Education	<input type="checkbox"/>
Inequalities	<input type="checkbox"/>
Health	<input type="checkbox"/>
Climate change	<input type="checkbox"/>
Water and sanitation	<input type="checkbox"/>
Energy	<input type="checkbox"/>
Peace and security	<input type="checkbox"/>
Disaster risk reduction	<input type="checkbox"/>
Corruption	<input type="checkbox"/>
Urbanization	<input type="checkbox"/>
Other	<input type="checkbox"/>

3. Does your company take actions to advance UN goals and issues (such as development, disaster relief, health, education) through core business, philanthropy, advocacy or partnership activities?

- Yes
 No

If yes: Does your company take actions to:

Core business:

- Align core business strategy with one or more relevant UN goals / issues
 Develop products and services or design business models that contribute to UN goals / issues

Social investment and philanthropy:

- Pursue social investments and philanthropic contributions that tie in with the core competencies
 Coordinate efforts with other organizations– and not negate or unnecessarily duplicate –efforts
 Take responsibility for the intentional and unintentional effects of funding and have due regard for local customs, traditions, religions, and priorities of pertinent individuals and groups

Advocacy:

- Publicly advocate the importance of action in relation to one or more UN goals / issues
 Participate in key summits and other important public policy interactions in relation to one or more UN goals / issues

Partnerships:

- Develop and implement partnership projects with public or private organizations

If “Develop and implement partnership projects with public or private organizations” selected:

What types of organizations have you partnered with? Select all that apply:

- Government
 United Nations
 Other multilateral organization
 Company
 Non-governmental organization
 Academia
 Other (specify) _____

At what level(s) within the company do your partnership projects occur? Select all that apply:

- Global partnership
 Local partnership

SECTION IV
LOCAL NETWORKS

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1. Is your company engaged in a Global Compact Local Network?

- Yes
- No
- Unsure

If yes:

How does your company engage in your Local Network(s)? Select all that apply:

- Receives assistance with implementation of the Global Compact principles
- Receives assistance with Communication on Progress (COP)
- Participates in policy dialogue
- Shares practices and experiences with peers
- Engages in collective action
- Networks with non-business stakeholders
- Networks with other companies
- Involved in Local Network governance
- Other (please specify)
- Unsure

For each choice selected:

How helpful is this engagement to your company?

On a scale of 1 to 5: 1= Not helpful, 5= Extremely helpful (select only one choice):

- 1 (Not helpful)
- 2
- 3
- 4
- 5 (Extremely helpful)

If no:

Why is your company not engaged in a Global Compact Local Network? Select all that apply:

- Not aware of a Local Network in my country
- Engage directly with the GC at the global level
- Insufficient time/capacity to engage in Local Network
- Activities do not meet my expectations
- Does not add value to company's overall corporate responsibility agenda
- Local Network has restrictive entry criteria (e.g. fees)
- Other (please specify)
- Unsure

SECTION V

SUSTAINABILITY REPORTING

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1. Has your company report on its sustainability performance?

- Yes
 No

If yes:

How does your company benefit from sustainability reporting? Select all that apply:

- Helps integrate corporate responsibility commitment into business operations
 Enhances commitment by the CEO
 Enhances stakeholder relations
 Promotes internal information sharing among departments
 Demonstrates active participation in the Global Compact
 Improves corporate reputation
 Provides information for investors to evaluate sustainability performance
 Does not benefit
 Other (please specify)
 Unsure

What challenges does your company face in sustainability reporting? Select all that apply:

- Lack of standard performance metrics
 Too many reporting standards and frameworks
 Insufficient internal process to monitor, measure and report
 Company structure not conducive to internal reporting/information sharing
 Lack of experience with public reporting
 Lack of resources
 Not a priority within the company
 Other (please specify)
 None
 Unsure

Does your company integrate its sustainability metrics and/or performance into its annual financial report?

- Yes
 No
 No, but we plan to in the coming years
 Not applicable (e.g., company does not produce annual financial report)

SECTION VI

ASSESSMENT & PROGRESS

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- 1. The Global Compact recognizes that implementing universal principles into business strategy, culture and operations can be a long-term process and encourages participants to follow a path of continuous improvement.**

Overall, how would you describe your company's current level of implementation of the Global Compact's ten principles?

On a scale of 1 to 5: 1= Beginner, 5= Advanced performer (select only one choice):

- 1 (Beginner)
- 2
- 3
- 4
- 5 (Advanced performer)

- 2. What challenges does your company face in advancing to the next level of implementation of the Global Compact's principles? Select all that apply:**

- Lack of financial resources
- Lack of support from top management
- Competing strategic priorities
- Lack of knowledge
- No clear link to business value
- Lack of recognition from investors
- Implementing strategy across business functions
- Extending strategy throughout the supply chain
- Extending strategy throughout subsidiaries
- Difficulty due to operating environment (e.g., conflict area, poor state governance)

- 3. How can the the Global Compact help your company reach the next level of corporate sustainability performance? Select all that apply:**

- Increase capacity of Local Networks
- Develop/recommend tools and guidance materials
- Provide greater linkages with investors
- Collect and share good practice examples
- Promote multi-stakeholder dialogue
- Develop specialized engagement platforms for action (e.g., Caring for Climate, Women's Empowerment Principles)
- Develop collective action opportunities
- Conduct trainings
- Other (specify) _____
- Nothing
- Unsure

SECTION VII

BASIC COMPANY INFORMATION

1. Where is your company located?

2. Is your company a subsidiary?

Yes

No

If yes: Where is your parent company headquartered?

3. How many people does your company employ, including all locations?

10 – 249

250 – 4,999

5,000 – 50,000

>50,000

4. What are your company's annual revenues (in US\$)?

<25 million

25 – 250 million

250 million – 1 billion

> 1 billion

5. Which of the following best describes your company? Select all that apply:

Privately owned

Publicly traded

Partially state-owned enterprise

Fully state-owned enterprise

Other (please specify)

6. Please indicate your industry:

7. When did your company join the Global Compact?

2000

2001

2002

2003

2004

2005

2006

2007

2008

2009

2010

2011

2012

8. Please specify your corporate department/position:

- Board of Directors
- Chief Executive
- Sustainability/Corporate Responsibility
- Human Resources
- Sales & Marketing
- Environment, Health & Safety
- Operations
- Public Affairs/Communications
- Legal/Compliance
- Finance/Accounting
- Business Development
- Logistics/Supply Chain
- Consultant
- Other

We thank you for your time spent taking this survey.